

Contents

1	Introduction and Goals	1
	References.....	10
2	Alternative Formulas for Selected Indices	11
	References.....	16
3	Overview of the “Difference of Means” Framework	19
3.1	Index Formulas: The Current State of Affairs	19
3.2	The Difference of Means Formulation – The General Approach.....	22
3.3	Additional Preliminary Remarks on Implementation.....	24
	References.....	25
4	Difference of Means Formulations for Selected Indices	27
4.1	Scoring Residential Outcomes (y) for the Delta or Dissimilarity Index (D).....	27
4.2	Scoring Residential Outcomes (y) for the Gini Index (G).....	31
4.3	The Delta or Dissimilarity Index (D) as a Crude Version of G.....	32
4.4	Scoring Residential Outcomes (y) for the Separation Index (S) ...	33
4.5	A Side Comment on the Separation Index (S) and Uneven Distribution	36
4.6	Scoring Residential Outcomes (y) for the Theil Index (H)	39
4.7	Scoring Residential Outcomes (y) for the Hutchens Square Root Index (R).....	40
	References.....	43
5	Index Differences in Registering Area Group Proportions	45
5.1	Segregation as Group Differences in Individual Residential Attainments.....	46
5.2	Implications for Sensitivity to Separation and Polarization	52
	References.....	56

6	Empirical Relationships Among Indices	57
6.1	When Do Indices Agree? When Can They Disagree?.....	61
6.2	Why Does Relative Group Size Matter?.....	71
	References.....	75
7	Distinctions Between Displacement and Separation	77
7.1	The Increasing Practical Importance of the Distinction Between Displacement and Separation	79
7.2	Prototypical Segregation and Concentrated Versus Dispersed Displacement.....	81
7.2.1	Prototypical Segregation.....	82
7.3	Clarifying the Logical Potential for D-S Concordance and Discordance – Analysis of Exchanges	86
7.3.1	Overview of D-S Differences in Responding to Integration-Promoting Exchanges	88
7.3.2	Examples of D-S Differences in Responding to Integration-Promoting Exchanges	90
7.3.3	Implications of Analysis of Example Exchanges	95
7.4	Clarifying the Potential for D-S Concordance and Discordance – Analytic Models.....	97
7.4.1	Examples of Calculating Values of S_{Min} Given Values of D and P	100
7.4.2	Examining D, S_{Max} , and S_{Min} over Varying Combinations of D and P.....	105
7.4.3	Implications of Findings from Analytic Models for S_{Max} and S_{Min}	108
7.5	Is Separation a Distinct Dimension of Segregation?	108
	References.....	115
8	Further Comments on Differences Between Displacement and Separation	117
8.1	Revisiting the Empirical Relationships of Displacement (D) and Separation (S).....	118
8.2	Scenarios for How D and S Discrepancies Can Arise	122
8.3	A Practical Issue When Comparing D and S – Size of Spatial Units	127
8.3.1	A Case Study of White-Black Segregation Cullman County Alabama.....	130
8.3.2	A Case Study of White-Minority Segregation in Palacios TX.....	132
8.3.3	Reiterating the Importance of Using “Right-Sized” Spatial Units.....	133
8.3.4	More Practical Guidance for Using S	135
8.4	A Simple Index of Polarization	136
	References.....	137

9	Unifying Micro-level and Macro-level Analyses of Segregation	139
9.1	New Ways to Work with Detailed Summary File Tabulations.....	141
9.2	Some Preliminaries.....	142
9.3	Substantive Findings.....	146
9.4	Opportunities to Perform Standardization and Components Analysis.....	148
9.5	Comparison with Previous Approaches to “Taking Account” of Non-racial Social Characteristics.....	150
9.6	Aggregate-Level Controls for Micro-level Determinants of Residential Outcomes.....	152
9.7	New Interpretations of Index Scores Based on Bivariate Regression Analysis.....	156
9.8	Multivariate Segregation Attainment Analysis (SAA).....	161
9.9	Unifying Aggregate Segregation Studies and Studies of Individual-Level Residential Attainment.....	170
9.10	New Possibilities for Investigating Segregation Using Restricted Data.....	172
9.11	An Example Analysis Using Restricted Microdata	174
	References.....	178
10	New Options for Investigating Macro-level Variation in Segregation	181
10.1	New Specifications for Conducting Comparative and/or Trend Analyses of Segregation	181
	References.....	189
11	Aspatial and Spatial Applications of Indices of Uneven Distribution.....	191
	References.....	193
12	Relevance of Individual-Level Residential Outcomes for Describing Segregation	195
12.1	An Example Analysis of Segregation and Exposure to Neighborhood Poverty	202
13	Relevance of Individual-Level Residential Outcomes for Segregation Theory	207
	References.....	209
14	Index Bias and Current Practices.....	211
14.1	Overview of the Issue of Index Bias.....	214
14.1.1	Effective Neighborhood Size (ENS): A Further Complication.....	218
14.1.2	The Practical Relevance of Variation in Effective Neighborhood Size	220
14.1.3	Random Distribution Is a Valid, Useful, and Conceptually Desirable Reference Point	221

- 14.2 Prevailing Practices for Avoiding Complications Associated with Index Bias 222
 - 14.2.1 Unwelcome Consequences of Prevailing Practices 223
 - 14.2.2 Efficacy of Prevailing Practices: Screening Cases on Minority Population Size..... 227
 - 14.2.3 Efficacy of Prevailing Practices: Weighting Cases by Minority Population Size..... 229
 - 14.2.4 An Aside on Weighting Cases by Minority Population Size 230
 - 14.2.5 Summing Up Comments on Prevailing Practices 232
- 14.3 Limitations of Previous Approaches for Dealing Directly with Index Bias 232
- 14.4 Summary 234
- References 235
- 15 New Options for Understanding and Dealing with Index Bias..... 237**
 - 15.1 The Source of the Initial Insight 239
 - 15.2 Building on the Initial Insight..... 242
 - 15.3 A More Detailed Exposition of Bias in the Separation Index 243
 - 15.4 Situating This Result and Its Implications in the Difference of Means Framework 246
 - 15.4.1 Expected Distributions of p' and y' Under Random Assignment 247
 - 15.5 Reviewing a Simple Example in Detail 247
 - 15.5.1 Additional Reflections on Results Presented in Tables 15.1 and 15.2 253
 - 15.6 Summary 254
 - References 254
- 16 Comparing Behavior of Unbiased and Standard Versions of Popular Indices..... 257**
 - 16.1 Documenting the Attractive Behavior of Unbiased Versions of Indices of Uneven Distribution..... 263
 - 16.1.1 Summary of Behavior of Unbiased Indices 268
 - 16.2 Documenting Additional Desirable Behavior of Unbiased Indices Based on the Difference of Means Formulation 268
 - 16.3 Conceptual and Practical Issues and Potential Impact on Research..... 275
 - References 279
- 17 Final Comments 281**
 - References 284

Appendices 285

Appendix A: Summary of Notation and Conventions 285

 Pairwise Calculations 285

 Reference and Comparison Groups (Groups 1 and 2) 285

 City-Wide Terms for Pairwise Calculations 286

 Area-Specific Terms for Pairwise Calculations 286

 Terms for Individuals or Households 286

 Selected Terms and Conventions Relevant for the Gini Index (G) 287

 Selected Terms and Conventions Relevant for the Theil Entropy Index (H) 287

 Selected Terms and Conventions Relevant for the Atkinson Index (A) 287

Appendix B: Formulating Indices of Uneven Distribution as Overall Averages of Individual-Level Residential Outcomes 288

 Focusing Attention on Individual-Level Residential Outcomes 289

 Summary of Difference of Means Formulations 292

Appendix C: Establishing the Scaling Functions $y = f(p)$ Needed to Cast the Gini Index (G) and the Dissimilarity Index (D) as Differences of Group Means on Scaled Pairwise Contact 293

 The General Task 294

 Introducing the Function $y = f(p)$ for the Gini Index (G) 295

 Calculating G as a Difference of Means 297

 Deriving G as a Difference of Means 298

 A Brief Demonstration 299

 Getting on with the Derivation 300

 The Dissimilarity Index (D) – A Special Case of the Gini Index (G) 308

 Alternative Graphical Explorations of Relative Rank Position 315

 The Nature of the Y-P Relationship for G 318

Appendix D: Establishing the Scaling Function $y = f(p)$ Needed to Cast the Separation Index (S) as a Difference of Group Means on Scaled Pairwise Contact 320

 Variance Analysis 323

 Formulation as a Difference of Means 326

Appendix E: Establishing the Scaling Function $y = f(p)$ Needed to Cast the Theil Entropy Index (H) as a Difference of Group Means on Scaled Pairwise Contact 327

 Adjusting the Range to 0–1 329

 A Loose End When $p = P$ 329

Appendix F: Establishing the Scaling Function $y = f(p)$ Needed to Cast the Hutchens’ Square Root Index (R) as a Difference of Group Means on Scaled Pairwise Contact 330

 Adjusting the Range to 0–1 332

 A Loose End When $p = P$ 332

 An Observation 333

References 333

List of Figures

Fig. 2.1	Examples of selected area-based computing formulas for indices of uneven distribution.....	12
Fig. 2.2	Examples of area-based computing formulas for indices of uneven distribution that implicitly feature overall averages on individual-level residential outcomes.....	14
Fig. 2.3	Formulas explicitly casting values of indices of uneven distribution as overall population averages on individual residential outcomes (y).....	15
Fig. 2.4	Formulas casting values indices of uneven distribution as differences of group means ($\bar{Y}_1 - \bar{Y}_2$) on individual residential outcomes (y).....	16
Fig. 5.1	Scoring residential outcomes (y) from pairwise proportion white (p) to compute G, D, R, H, and S as a difference of means.....	48
Fig. 6.1	Suggested schemas for placing index scores within broad groupings for levels of segregation.....	59
Fig. 6.2	Scatterplots depicting relationships among indices of uneven distribution for White-Minority segregation comparisons in CBSAs in 1990, 2000, and 2010 – full analysis sample.....	63
Fig. 6.3	Scatterplots depicting relationships of H and S with G, D, and R for White-Minority segregation comparisons in CBSAs in 1990, 2000, and 2010 – full analysis sample.....	65
Fig. 6.4	Scatterplots depicting relationships among indices of uneven distribution for White-Minority comparisons in 1990, 2000, and 2010 – subset of CBSAs with Minority Proportion ≥ 0.30	69
Fig. 6.5	Scoring $y = f(p)$ for computing Theil’s H as a difference of group means on scaled contact.....	72

Fig. 6.6 Scoring $y = f(p)$ for computing Hutchens' R as a difference of group means on scaled contact 73

Fig. 6.7 Scatterplots depicting relationships of D with H and S for White-Minority comparisons for CBSAs in 1990, 2000, & 2010..... 74

Fig. 7.1 Possible combinations of high and low values on displacement (D) and separation (S)..... 85

Fig. 7.2 Impacts of selected integration-promoting exchanges on the value of the separation index (S) and the dissimilarity index (D) 91

Fig. 7.3 Summary of formulas for group residential distributions by level of dissimilarity (D) and racial composition (P) under selected algorithms for producing concentrated and dispersed displacement from even distribution 101

Fig. 7.4 Maximum and minimum values of the separation index (S) by values of the dissimilarity index (D) for selected values of city percent White (P) under a three-area analytic model 106

Fig. 7.5 Maximum and minimum values of the dissimilarity index (D) by values of the separation index (S) for selected values of city percent White (P) under a three-area analytic model 107

Fig. 8.1 Separation index (S) by dissimilarity index (D) for White-Minority segregation comparisons computed using block-level data for CBSAs in 1990, 2000, and 2010..... 118

Fig. 8.2 Dissimilarity Index (D) by separation Index (S) for White-Minority segregation comparisons computed using block-Level data for CBSAs in 1990, 2000, and 2010..... 119

Fig. 8.3 Guidelines for identifying prototypical segregation based on concordant scores for dissimilarity (D) and separation (S) when displacement from even distribution is substantially concentrated 120

Fig. 8.4 Guidelines for assessing concordance-discordance of dissimilarity (D) and separation (S)..... 121

Fig. 8.5 General guidelines for group population thresholds needed to assess displacement and group separation and area racial polarization 135

Fig. 12.1 Response of group contact (y) scored for Hutchens R by proportion White in area (p) and selected values for city proportion White (P)..... 198

Fig. 12.2 Response of group contact (y) scored for Theil's H by proportion White in area (p) and selected values for city proportion White (P)..... 202

Fig. 16.1 Scores for “Standard” versions of indices of uneven distribution under random assignment by city percent White and neighborhood size..... 261

Fig. 16.2 Scores for unbiased versions of indices of uneven distribution under random assignment by percent White and neighborhood size..... 265

Fig. 16.3 Illustration of the transition from the initial state of minority-minority integration and high majority-minority segregation to the end state of all-way integration (Random distribution)..... 270

Fig. 16.4 Box plots depicting distributions of scores for unbiased and standard delta Index (D' and D) for majority-minority segregation and minority-minority segregation over ten simulation cycles..... 271

Fig. 16.5 Scores for unbiased and standard delta index (D' and D) for minority-minority segregation over time for three combinations of ethnic mix..... 273

List of Tables

Table 5.1	Group counts and overall and pairwise group percentages for Houston, Texas, 2000.....	46
Table 5.2	Scores for White-Minority segregation indices obtained using standard computing formulas, Houston Texas, 2000.....	46
Table 5.3	Details for obtaining scores for White-Minority segregation from difference of group means on residential outcomes, Houston, Texas, 2000	47
Table 6.1	Descriptive statistics for indices of uneven distribution for White-Minority comparisons for CBSAs for 1990, 2000, and 2010	59
Table 6.2	Relationships among indices of uneven distribution for White-Minority segregation comparisons in CBSAs in 1990, 2000, and 2010	62
Table 6.3	Relationships among indices of uneven distribution by size of combined group populations for White-Minority segregation comparisons in CBSAs in 1990, 2000, and 2010	67
Table 6.4	Relationships among indices of uneven distribution by group proportions for White-Minority segregation comparisons in CBSAs in 1990, 2000, and 2010.....	70
Table 9.1	Descriptive statistics for poverty status and distribution of poverty status by family type for Whites, Black, Latinos, and Asians in Houston, Texas, 2000.....	142
Table 9.2	Means on pairwise contact with Whites (y) scored for the separation index (S) by poverty status and family type for White-Minority comparisons, Houston, Texas, 2000.....	143

Table 9.3	Means on scaled pairwise contact with Whites (y) scored for the dissimilarity index (D) by poverty status and family type for White-minority comparisons, Houston, Texas, 2000	144
Table 9.4	Observed and standardized White-Minority segregation comparisons, Houston, Texas, 2000	149
Table 9.5	Bivariate segregation attainment regressions predicting residential outcomes (y) that additively determine White-Minority segregation for selected indices, Houston, Texas, 2000	157
Table 9.6	Group-specific attainment regressions for White-Black segregation	163
Table 9.7	Group-specific attainment regressions for White-Latino segregation	164
Table 9.8	Group-specific attainment regressions for White-Asian segregation	165
Table 9.9	Coefficients from fractional regressions predicting residential outcomes (y) determining the separation index (S) for White-Latino segregation in Houston, Texas in 2000 and 2010	175
Table 9.10	Standardization analyses for White-Latino differences in residential outcomes (y) determining the separation index (S)	176
Table 10.1	Regression results illustrating that effects in city-level analyses of segregation and contact can be obtained using individual-level, contextual regressions predicting group differences in contact	184
Table 10.2	Analyses illustrating how city-level analyses of segregation can be conducted using individual-level, contextual regressions that control non-racial characteristics.....	186
Table 10.3	Analyses illustrating how city-level analyses of segregation can yield misleading results when aggregate measures are used to control for group differences on non-racial characteristics	187
Table 15.1	Calculations to obtain values of D and S for White-Black segregation from differences of group means on residential outcomes (y) based on contact with Whites for area population and among neighbors under random distribution	248
Table 15.2	Calculations to obtain values of R and H for White-Black segregation from differences of group means on residential outcomes based on contact with Whites for area population and among neighbors under random distribution.....	249

Table 16.1 Means for standard versions of popular indices of uneven distribution computed for random residential distributions under varying combinations of relative group size (P) and neighborhood size..... 259

Table 16.2 Standard deviations for standard versions of popular indices of uneven distribution computed for random residential distributions under varying combinations of relative group size (P) and neighborhood size 260

Table 16.3 Means for unbiased versions of popular indices of uneven distribution computed for random residential distributions under varying combinations of relative group size (P) and neighborhood size..... 264

Table 16.4 Standard deviations for unbiased versions of popular indices of uneven distribution computed for random residential distributions under varying combinations of relative group size (P) and neighborhood size 264